


LIST OF REFERENCES CITED BY APPLICANT
 (Use several sheets if necessary)

 ATTY. DOCKET NO.
 8932-973-999

 APPLICATION NO.
 10/645,136

 APPLICANT
 Larson et al.

 FILING DATE
 August 20, 2003

 GROUP
 3732

U.S. PATENT DOCUMENTS

| *EXAMINER INITIAL | | DOCUMENT NUMBER | DATE | NAME | CLASS | SUBCLASS | FILING DATE IF APPROPRIATE |
|----------------------|-----|-----------------|-----------|--------------|-------|----------|-------------------------------|
| [Signature] | A01 | 6,102,852 | 8/2000 | Liu | | | |
| | A02 | 5,976,146 | 11/2/1999 | Ogawa et al. | | | |
| | A03 | 5,569,300 | 10/1996 | Redmon | | | |
| | A04 | 5,395,317 | 3/7/1995 | Kambin | | | |
| | A05 | 5,312,417 | 5/17/1994 | Wilk | | | |
| | A06 | 5,197,971 | 3/30/1993 | Bonutti | | | |
| | A07 | 5,131,382 | 7/21/1992 | Meyer | | | |
| | A08 | 5,071,410 | 3/14/1991 | Pazell | | | |
| | A09 | 3,044,461 | 7/17/1962 | Murdock | | | |
| | A10 | 835,968 | 11/1906 | Mennes | | | |
| | A11 | | | | | | |
| | A12 | | | | | | |

FOREIGN PATENT DOCUMENTS

| | DOCUMENT NUMBER | DATE | COUNTRY | CLASS | SUBCLASS | TRANSLATION |
|--|-----------------|------|---------|-------|----------|-------------|
| | | | | | | YES NO |
| | B01 | | | | | |
| | B02 | | | | | |
| | B03 | | | | | |
| | B04 | | | | | |
| | B05 | | | | | |
| | B06 | | | | | |
| | B07 | | | | | |
| | B08 | | | | | |
| | B09 | | | | | |

OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, Etc.)

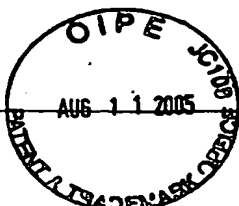
| | | |
|-------------|-----|--|
| [Signature] | C01 | Thongtrangan et al., "Minimally invasive spinal surgery: a historical perspective," Neurosurg Focus 16 (1): Article 13, 2004. |
| [Signature] | C02 | Muller et al., "A Keyhole Approach for Endoscopically Assisted Pedicle Screw Fixation in Lumbar Spine Instability," Neurosurgery, Vol. 47, No. 1, July 2000. |
| [Signature] | C03 | Duncan J. Turner, "A New, Radially Expanding Access System for Laparoscopic Procedures versus Conventional Cannulas," The Journal of the American Association of Gynecologic Laparoscopists, Vol. 3, No. 4, August 1996. |
| [Signature] | C04 | Parviz Kambin, "The Role of Minimally Invasive Surgery in Spinal Disorders," Advances in Operative Orthopedics, Vol. 3, pp. 147-171, 1995. |

EXAMINER

Pedro [Signature]

DATE CONSIDERED

3/28/06



LIST OF REFERENCES CITED BY APPLICANT
(Use several sheets if necessary)

ATTY. DOCKET NO.
8932-973-999

APPLICATION NO.
10/645,136

APPLICANT
Larson et al.

FILING DATE
August 20, 2003

GROUP
3732

U.S. PATENT DOCUMENTS

| *EXAMINER INITIAL | | DOCUMENT NUMBER | DATE | NAME | CLASS | SUBCLASS | FILING DATE IF APPROPRIATE |
|----------------------|-----|-----------------|------------|-------------------|-------|----------|-------------------------------|
| PMA | A01 | 2005/0090899 A1 | 04/28/2005 | DiPoto | | | |
| | A02 | 2005/0080418 | 04/14/2005 | Simonson et al. | | | |
| | A03 | 2005/0070765 A1 | 03/31/2005 | Abelgany et al. | | | |
| | A04 | 2005/0010293 A1 | 01/13/2005 | Zucherman et al. | | | |
| | A05 | 2004/0236331 A1 | 11/25/2004 | Michelson | | | |
| | A06 | 2004/0236317 A1 | 11/25/2004 | Davison | | | |
| | A07 | 2004/0215199 A1 | 10/28/2004 | Zinkel | | | |
| | A08 | 2004/0186346 | 09/23/2004 | Smith et al. | | | |
| | A09 | 2004/0181231 A1 | 09/16/2004 | Emstad et al. | | | |
| | A10 | 2004/0138534 A1 | 07/15/2004 | Ritland | | | |
| | A11 | 2004/0059339 A1 | 03/25/2004 | Rochm, III et al. | | | |
| | A12 | 2004/0059193 A1 | 03/25/2004 | Famous | | | |
| | A13 | 2004/0002629 A1 | 01/01/2004 | Branch et al. | | | |
| | A14 | 2003/0236447 | 12/25/2003 | Ritland | | | |
| | A15 | 2003/0199871 A1 | 10/23/2003 | Foley et al. | | | |
| | A16 | 2003/0191371 A1 | 10/09/2003 | Smith et al. | | | |
| | A17 | 2003/0149341 A1 | 08/07/2003 | Clifton | | | |
| | A18 | 2003/0139648 A1 | 07/24/2003 | Foley et al. | | | |
| | A19 | 2003/0073998 A1 | 04/17/2003 | Pagliuca et al. | | | |
| | A20 | 2002/0123668 A1 | 09/05/2002 | Ritland | | | |
| | A21 | 2002/0111538 A1 | 08/15/2002 | Wright et al. | | | |
| | A22 | 6,849,064 | 02/01/2005 | Hamada | | | |
| | A23 | 6,811,558 B2 | 11/02/2004 | Davison et al. | | | |
| | A24 | 6,800,084 B2 | 10/05/2004 | Davison et al. | | | |
| | A25 | 6,746,396 B1 | 06/08/2004 | Segermark et al. | | | |
| | A26 | 6,676,665 B2, | 01/13/2004 | Foley et al. | | | |
| | A27 | 6,616,605 B2 | 09/09/2003 | Wright et al. | | | |
| | A28 | 6,596,008 B12 | 07/22/2003 | Kambin | | | |
| | A29 | 6,537,323 B2 | 03/25/2003 | Weinstein et al. | | | |
| | A30 | 6,530,926 B1 | 03/11/2003 | Davison | | | |
| | A31 | 6,520,907 B1 | 02/18/2003 | Foley et al. | | | |
| | A32 | 6,488,620 B1 | 12/03/2002 | Segermark et al. | | | |
| | A33 | 6,468,207 B1 | 10/22/2002 | Fowler, Jr. | | | |
| | A34 | 6,416,518 B1 | 07/09/2002 | DeMayo | | | |
| | A35 | 6,394,950 B1 | 05/28/2002 | Weiss | | | |
| | A36 | 6,354,995 | 03/12/2002 | Hoftman et al. | | | |

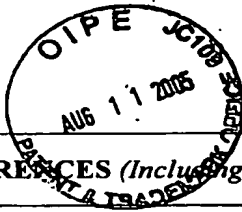
AUG 11 2005

Sheet 2 of 3 of List of References
of Application No. 10/645,136

| | | | | | | |
|-----|---------------|------------|-------------------|--|--|--|
| A37 | 6,235,028 B I | 05/22/2001 | Brumfield et al. | | | |
| A38 | 6,206,826 B I | 03/27/2001 | Mathews et al. | | | |
| A39 | 6,187,000 B I | 02/13/2001 | Davison et al. | | | |
| A40 | 6,162,172 | 12/19/2000 | Cosgrove et al. | | | |
| A41 | 6,090,113 | 07/18/2000 | Le Couedic et al. | | | |
| A42 | 6,083,154 | 07/04/2000 | Liu et al. | | | |
| A43 | 6,063,088 | 05/16/2000 | Winslow | | | |
| A44 | 6,030,340 | 02/29/2000 | Maffei et al. | | | |
| A45 | 6,007,487 | 12/28/1999 | Foley et al. | | | |
| A46 | 5,954,635 | 09/21/1999 | Foley et al. | | | |
| A47 | 5,951,466 | 09/14/1999 | Seegermark et al. | | | |
| A48 | 5,944,658 | 08/31/1999 | Koros et al. | | | |
| A49 | 5,928,139 A | 07/27/1999 | Koros et al. | | | |
| A50 | 5,795,291 | 08/18/1998 | Koros et al. | | | |
| A51 | 5,730,757 | 03/24/1998 | Benetti et al. | | | |
| A52 | 5,728,046 | 03/17/1998 | Mayer et al. | | | |
| A53 | 5,707,390 A | 01/13/1998 | Bonutti | | | |
| A54 | 5,503,617 | 04/02/1996 | Jako | | | |
| A55 | 5,303,694 | 04/19/1994 | Mikhail | | | |
| A56 | 5,125,396 | 06/30/1992 | Ray | | | |
| A57 | 5,052,373 | 10/01/1991 | Michelson | | | |
| A58 | 5,027,793 | 07/02/1991 | Engelhardt et al. | | | |
| A59 | 4,984,564 | 01/15/1991 | Yuen | | | |
| A60 | 4,950,270 A | 08/21/1990 | Bowman et al. | | | |
| A61 | 4,913,134 | 04/03/1990 | Luque | | | |
| A62 | 4,817,587 | 04/04/1989 | Janese | | | |
| A63 | 4,765,311 | 08/23/1988 | Kulik et al. | | | |
| A64 | 3,807,393 | 04/30/1974 | McDonald | | | |
| A65 | 3,749,088 | 07/31/1973 | Kohlmann | | | |
| A66 | 3,227,156 | 01/04/1966 | Gauthier | | | |
| A67 | 2,670,731 | 03/02/1954 | Zoll et al. | | | |
| A68 | | | | | | |

FOREIGN PATENT DOCUMENTS

| | DOCUMENT NUMBER | DATE | COUNTRY | CLASS | SUBCLASS | TRANSLATION | |
|-----|-----------------|------------|---------|-------|----------|-------------|----|
| | | | | | | YES | NO |
| B01 | DE 40 28 651 A1 | 03/12/1992 | Germany | | | ABS | |
| B02 | FR 2 692 468 | 12/24/1993 | France | | | ABS | |
| B03 | WO 98/12960 | 04/02/1998 | PCT | | | | |
| B04 | WO 96/02195 | 02/01/1996 | PCT | | | | |
| B05 | EP 1 192 905 A1 | 04/03/2002 | Europe | | | ABS | |
| B06 | EP 0 792 620 A2 | 03/09/1997 | Europe | | | | |
| B07 | EP 0 455 282 B1 | 11/06/1991 | Europe | | | | |
| B08 | | | | | | | |
| B09 | | | | | | | |



OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, Etc.)

| | |
|-----|--|
| C01 | |
| C02 | |
| C03 | |

EXAMINER

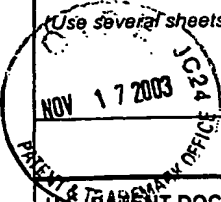
Pedro R. H. G.

DATE CONSIDERED

3/28/02

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

| | | |
|---|--|------------|
| LIST OF REFERENCES CITED BY APPLICANT (Use several sheets if necessary) | ATTY. DOCKET NO. | SERIAL NO. |
| | 101045.0001US1 | 10/645136 |
| | APPLICANT KISS Medical, LLC, et al. | |
| | FILING DATE | GROUP |
| | August 20, 2003 | |



U.S. PATENT DOCUMENTS

| EXAMINER INITIAL | | DOCUMENT NUMBER | DATE | NAME | CLASS | SUBCLASS | FILING DATE IF APPROPRIATE |
|------------------|----|-----------------|---------|--------------------|-------|----------|----------------------------|
| <i>DP</i> | AA | US 6206826 | 3/2001 | Mathews, et al. | | | |
| | BB | US 6471644 | 10/2002 | Sidor | | | |
| | CC | US 6162172 | 12/2000 | Cosgrove, et al. | | | |
| | DD | US 5027793 | 7/1991 | Engelhardt, et al. | | | |
| | EE | | | | | | |
| | FF | | | | | | |
| | GG | | | | | | |
| | HH | | | | | | |
| | II | | | | | | |

FOREIGN PATENT DOCUMENTS

| | | DOCUMENT NUMBER | DATE | COUNTRY | CLASS | SUBCLASS | TRANSLATION | |
|--|----|-----------------|------|---------|-------|----------|-------------|----|
| | | | | | | | YES | NO |
| | JJ | | | | | | | |
| | KK | | | | | | | |
| | LL | | | | | | | |
| | MM | | | | | | | |
| | NN | | | | | | | |

OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, Etc.)

| | | |
|--|----|--|
| | OO | |
| | PP | |
| | QQ | |

| | |
|------------------------------------|-----------------------------------|
| EXAMINER <i>Pedro P. G. ...</i> | DATE CONSIDERED <i>3/28/06</i> |
|------------------------------------|-----------------------------------|

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.